

10/560809

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
IAP20 Rec'd PCT/PTO 15 DEC 2005

In re application of

Yoshiaki IWATA et al.

: **Mail Stop: PCT**

Serial No. NEW

: Attorney Docket No. 2005_1974A

Filed December 15, 2005

NETWORK RECORDING SYSTEM,
RECORDING SERVER, AND TERMINAL
DEVICE

[Corresponding to PCT/JP2004/008252
Filed June 7, 2004]

: THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
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ACCOUNT NO. 23-0975

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request
consideration of the references listed on attached form PTO-1449 and any additional information
identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449
is enclosed, except a copy is not provided for:

- each U.S. Patent and U.S. Patent application publication;
- each reference previously cited in the international application
PCT/_____; and/or
- each reference previously cited in prior parent application Serial No.
_____.

1a. This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the
above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. This Information Disclosure Statement is submitted
after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:
(1) the certification of paragraph 2 below is provided, **or**
(2) the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. This Information Disclosure Statement is submitted:
after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:
the certification of paragraph 2 below is provided, and
the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

- a. that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- b. that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

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3. Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
 - a. a full or partial English language translation submitted herewith,
 - b. an International Search Report (in the English language) submitted herewith,
 - c. the concise explanation contained in the specification of the present application at page,
 - d. the concise explanation set forth in the attached English language abstract,
 - e. the concise explanation set forth below or on a separate sheet attached to the reference:

JP 2001-346137 corresponds to US 2002/0013945

5. an International Search Report citing one or more of the references is enclosed.

Respectfully submitted,

Yoshiaki IWATA et al.

By



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December 15, 2005

FORM PTO 1449 (<i>modified</i>) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Date Submitted to PTO: December 15, 2005		ATTY DOCKET NO. 2005_1974A	SERIAL NO. NEW 10/560809
		APPLICANT Yoshiaki IWATA	JAP20 Rec'd PCT/PTO 15 DEC 2005
		FILING DATE December 15, 2005	GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2001/0047349	11-29-01	Easty et al.			
	AB	2002/0013945	1-31-02	Nashida et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
	AJ	100 53 722	5-2-02	DE				x
	AK	2001-346137	12-14-01	Japan			corresponds to AB	
	AL	2002-77839	3-15-02	Japan			abstract	
	AM	2002-191004	7-5-02	Japan			abstract	
	AN							

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	B. Smyth et al., "Surfing the Digital Wave Generating Personalized TV Listings using Collaborative, Case-Based Recommendation", Case-Based Reasoning Research and Development, International Conference, July 1999, pages 561-571
AP	
AQ	
EXAMINER	DATE CONSIDERED